



PATENT  
Atty. Docket No.: 028987.52719US  
Appl. No.: 10/727,990

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/727,990 Confirmation No. : 7270  
Applicants : KURT PFERTNER, et al.  
Filed : December 5, 2003  
TC/A.U. : 3612  
Examiner : (To Be Assigned)  
Docket No. : 028987.52719US  
Customer No. : 23911  
Title : AIR GUIDE ARRANGEMENT

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

**STATEMENT OF RELEVANCE**

The relevance of European Patent Document No. EP 0 374 421 and corresponding U.S. Patent 5,052,745 to the subject matter of the present invention is given in the Background and Summary of the Invention in the specification of the present invention.

An English translation of the German Office Action dated September 23, 2003, is being submitted herewith, and therefore no concise explanation for such foreign language documents is required.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents, together with an English-language version of that portion of the Search Report indicating the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. §1.97(d)(2) and that the petition fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R.

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§1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket No. 028987.52719US).

Respectfully submitted,

May 6, 2004  
Date

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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| <b>Substitute for form 1449A/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |               | <b>Complete if Known</b> |                      |
|   |               | Application Number       | 10/727,990           |
|   |               | Filing Date              | December 5, 2003     |
|   |               | First Named Inventor     | KURT PFERTNER ET AL. |
|   |               | Art Unit                 | 3612                 |
| Sheet 1 of 1  | Examiner Name | (To Be Assigned)         |                      |
|   |               | Attorney Docket Number   | 028987.52719US       |

| U.S. PATENT DOCUMENTS             |                          |  |           |                                |  |   |
|-----------------------------------|--------------------------|--|-----------|--------------------------------|--|---|
| Examiner<br>Initials <sup>1</sup> | Cite<br>No. <sup>1</sup> | Document Number                          |           | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                   |                          | Number-Kind Code <sup>2</sup> (if known) |           |                                |  |   |
|                                   |                          | US-                                      | 5,052,745 | 10/01/1991                     | Preiss   |   |
|                                   |                          | US-                                      |           |                                |  |   |
|                                   |                          | US-                                      |           |                                |  |   |
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|                                   |                          | US-                                      |           |                                |  |   |
|                                   |                          | US-                                      |           |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                          |   |                                |  |   |                |
|--------------------------|--------------------------|---|--------------------------------|--|---|----------------|
| Examiner<br>Initials'    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                          |                          | Germany – DE 37 41 131 C1   | 02/02/1989                     | Baumert et al.                                     |   |                |
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|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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